

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

RCA 89520

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/030766

INTERNATIONAL APPLICATION NO.  
PCT/US00/11610

INTERNATIONAL FILING DATE  
28 April 2000 (28.04.00)

PRIORITY DATE CLAIMED  
30 April 1999 (30.04.99)

TITLE OF INVENTION

MULTI-FORMAT PERSONAL DIGITAL AUDIO PLAYER

APPLICANT(S) FOR DO/EO/US

Sin Hui Cheah; Tibor Csicsatka and Robert James Dick Sr.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
  - ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
  - ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
  - ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☐ is attached hereto.
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  - ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Return Postcard Receipt

EXPRESS MAIL NO: EL 902321170

DATE OF DEPOSIT 10/22/01

U.S. APPLICATION NO. (IF KNOWN SEE 37 CFR

INTERNATIONAL APPLICATION NO.

PCT/US00/11610

ATTORNEY'S DOCKET NUMBER

RCA 89520

24. The following fees are submitted.:

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... **\$1040.00**
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... **\$890.00**
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... **\$740.00**
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... **\$710.00**
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... **\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY****\$890.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

**\$0.00**

| CLAIMS             | NUMBER FILED | NUMBER EXTRA | RATE      |
|--------------------|--------------|--------------|-----------|
| Total claims       | 9 - 20 =     | 0            | x \$18.00 |
| Independent claims | 3 - 3 =      | 0            | x \$84.00 |

**\$0.00****\$0.00**Multiple Dependent Claims (check if applicable). ☐**\$0.00****TOTAL OF ABOVE CALCULATIONS =****\$890.00**

Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

**\$0.00****SUBTOTAL =****\$890.00**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

**\$0.00****TOTAL NATIONAL FEE =****\$890.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐

**\$40.00****TOTAL FEES ENCLOSED =****\$930.00****Amount to be:****refunded**

\$

**charged****\$ 930.00**

- a. ☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. **07-0832** in the amount of **\$930.00** to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **07-0832**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Mr. Joseph S. Tripoli  
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SIGNATURE

**PAUL P. KIEL**

NAME

**40,677**

REGISTRATION NUMBER

DATE

**10/22/01**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Sin Hui Cheah; Tibor Csicsatka and Robert James Dick Sr.  
Filed : Herewith  
For : MULTI-FORMAT PERSONAL DIGITAL AUDIO PLAYER

PRELIMINARY AMENDMENT

Hon. Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Sir:

In the US national phase application of PCT/US00/11610 filed herewith, please enter the following amendments:

IN THE SPECIFICATION:

Please amend the specification as follows.

On Page 1, line 2, please insert the following paragraph:

-- This application claims the benefit of U.S. provisional application serial no. 60/131,888 filed April 30, 1999, which is hereby incorporated herein by reference, and which claims the benefit under 35 U.S.C. § 365 of International Application PCT/US00/11610, filed April 28,, 2000, which was published in accordance with PCT Article 21(2) on November 9, 2000 in English.--

IN THE ABSTRACT:

Please add the following Abstract.

-- An apparatus and a method for playing back audio files encoded using one of a plurality of encoding formats, such as MP3 and Real Networks G2. The present invention is a portable audio playback device that allows a user to playback an encoded audio data file, which audio data file has been encoded using a particular encoding format, by transferring the encoded audio data file and an associated decoder file to a digital signal processor in response to a user input. The

digital signal processor decodes the encoded audio data file in accordance with the associated decoder file to provide a data file suitable for reproduction. By transferring both the audio data file and the associated decoder file, the present apparatus allows the user to playback a plurality of audio data file encoded using respective ones of a plurality of encoding formats. Advantageously, the audio data files and the decoder files are stored on a solid state data storage medium, such as a compactflash memory card. --

## REMARKS

The specification has been amended to include a reference to the priority applications.

To meet the requirements of the United States, the Abstract (as originally filed in the PCT application) is added.

No fee is believed to have been incurred by virtue of this amendment. However if a fee is incurred on the basis of this amendment, please charge such fee against deposit account 07-0832

Respectfully submitted,  
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Date: 10/22/01

MULTI-FORMAT PERSONAL DIGITAL AUDIO PLAYER

The present invention relates to an apparatus and a method for processing digitally encoded data, and in particular, to a portable apparatus and a method for processing digitally encoded audio data that has been encoded using a selected one of a plurality of encoding/decoding formats. The present invention is particularly advantageous in handheld applications.

The use of portable audio devices capable of playing digitally encoded data is becoming commonplace. In particular, relatively small handheld devices that can process digitally encoded audio data stored on solid state memory devices are becoming popular. Such portable audio devices include those adapted to play digital data that has been encoded, or compressed, and stored on memory chips included within the audio player or on relatively small removable storage media, such as a compactflash<sup>TM</sup> memory card. The solid state memory based devices are particularly advantageous for use while exercising, or other physical activity, because such devices are not susceptible to skips and other similar problems associated with moving data storage media, such as CDs.

In a solid state memory based device, the digital audio data is loaded onto the memory device by, for example, downloading the data from the internet or from another digital audio player. The data is usually compressed and loaded onto the memory device through a personal computer having appropriate software loaded thereon. The memory device may also include preloaded audio data.

The data is compressed prior to loading onto the memory device and decompressed during playback according to a selected encoding format. A variety of encoding formats for compressing and decompressing audio data is available. As used hereinafter, the term encoding format refers to any encoding/decoding scheme that specifies the syntax and semantics of a compressed bitstream and how the bitstream must be decompressed for reproduction. Such encoding formats include, but are not limited to, MP3 and Real Networks G2. Additionally, new encoding formats are being developed and

expected to be available in the future.

However, a disadvantage of current portable audio devices that playback digitally encoded audio data is that a user cannot adjust the operation of the portable audio devices to accommodate a variety of encoding formats.

5 Current portable audio devices, particularly handheld devices, are generally designed and manufactured to work with a pre-selected encoding format. As such, the user cannot choose a different encoding format as the need arises. Such a limitation is problematic because it is desirable to be able to playback a variety of audio data files, wherein different audio data files may have been  
10 encoded using different encoding formats. For example, a person's collection may include various audio data files that have been encoded using different encoding formats, certain recordings may only be available in certain encoding formats, and newer encoding formats may make a current encoding format undesirable or obsolete.

15 Therefore, it is desirable to provide a portable playback apparatus and a method for playing back data files, in particular audio data files, encoded using one of a plurality of encoding formats. It is particularly desirable to provide a handheld playback apparatus for playing back audio data files encoded using one of a plurality of encoding formats.

20 It is also desirable to provide a portable playback apparatus and a method for playing back data files, in particular audio data files, encoded using a pre-existing encoding format or an encoding format that may be introduced in the future, without adding additional processing elements. That is, a portable playback apparatus and a method that is both forward and backward compatible  
25 with existing and future data encoding formats.

The present invention overcomes the above-noted limitation of current portable audio players, particularly handheld audio players, by providing a portable audio playback device that allows a user to playback audio data files using appropriate ones of a plurality of encoding formats, including new formats  
30 that become available in the future.

According to the present invention, decoder files are loaded directly onto the memory device along with the audio data file. The decoder files

comprise programs for causing a digital signal processor to process a selected audio data file according to a particular encoding format. When a user selects a particular audio data file, the portable audio player according to the present invention transfers both the selected audio data file and its associated decoder file to a digital signal processor, and thereafter, the digital signal processor decodes the selected audio data file in accordance with the associated decoder file. In this manner, the present portable audio player can be adapted to playback a variety of audio data files using appropriate ones of a plurality of encoding formats.

In one respect, the present invention is a portable audio playback system, comprising: user input means for receiving user commands; data input means for receiving digital data; a data storage device adapted to be removably coupled to the data input means; a digital signal processor; and a micro-controller, coupled to the user input means, the data input means, and the signal processor, for identifying a selected audio data file and an associated decoder file stored on the data storage device in response to a user input, the micro-controller transferring the selected audio data file and the associated decoder file to the digital signal processor, the digital signal processor decoding the selected audio data file in accordance with the associated decoder file.

In another respect, the present invention is, in a portable audio playback device, a method for playing back an audio data file, the audio data file being encoded in accordance with a selected one of a plurality of encoding formats, the method comprising the steps of: identifying a selected audio data file in response to a user input; identifying a decoder file associated with the selected audio data file; transferring the selected audio data file and the associated decoder file to a digital signal processor; decoding the selected audio data file in accordance with the decoder file in the digital signal processor; and providing the decoded audio data file to an output device.

In another respect, the present invention is a method for storing audio data onto a compact data storage device, the compact memory device adapted to be removably coupled to a handheld audio playback device, the method comprising the steps of: encoding audio data in accordance with a

selected encoding format to generate an encoded audio data file; storing, in the compact memory device, the encoded audio data file; storing, in the compact memory device, a decoder file for decoding the encoded audio data file in accordance with the selected encoding format; and storing, in the compact memory device, an identifier file that indicates the correspondence between the encoded audio data file and the decoder file.

The present invention is described in more detail with reference to the figures, wherein:

Fig. 1 is a block diagram of a portable audio device according to the present invention;

Figs. 2A-B illustrate a handheld audio apparatus according to the present invention;

Figs. 3-4 are flowcharts illustrating the steps for playing back an audio track using a portable audio device according to the present invention; and

Fig. 5 is a memory map diagram illustrating the various data blocks that are loaded into and processed within the DSP.

#### Detailed Description of an Exemplary Embodiment

Fig. 1 shows a block diagram of portable audio device 10 according to the present invention. The arrangement and operation of the various elements are described hereinbelow. However, the details of the various elements of audio device 10 are well known to those skilled in the art and will not be discussed here. Audio device 10 comprises micro-controller 22 that controls the various elements and the overall operation of audio device 10, including the transferring data from memory card 32 to DSP 12. Micro-controller 22 includes a suitable amount of memory 23, in this case 48 KB of ROM, which includes various instruction sets, decryption programs, key files and a security code for controlling the operation of audio player 10 stored thereon. Suitable micro-controllers include, but are not limited to,  $\mu$ PC78A4036 manufactured by NEC Corporation.



Audio device 10 also includes digital signal processor ("DSP") 12 that may be programmed to perform a variety of signal processing functions during playback of a selected audio data file. In this case, the functions that DSP 12 performs during playback includes, but is not limited to, decrypting a decryption program using a security code, decrypting a decoder file with the decryption program using a first key, decrypting an audio data file with the decryption program using a second key and a unique identifier associated with memory card 32, and decoding the audio data file using the decoder file. Other functions include volume control, digital sound equalizer, and sample conversion. In that regard, DSP 12 includes on-board memory 11, in this case 64 KW of RAM, wherein the decryption program, key files, security code, decoder files and audio data files, and various other required data are loaded during playback.

The decoder files comprise programs that control the decoding operations of DSP 12 and the audio data files include data associated with the audio content. Both the audio data files and the decoder files are stored in memory card 32 in encrypted form. The decoder file is transferred to DSP RAM 11 from memory card 32 and decrypted as described further below. Suitable DSP units, include, but are not limited to, TMS320NC5410 manufactured by Texas Instruments, Inc. of Dallas, Texas.

As a selected audio data file is decoded, DSP 12 provides the decoded data stream to digital to analog converter 14. D/A converter 14 converts the digital output of DSP 12 into an analog signal and provides the analog signal to headphone amplifier 16. The analog signals are amplified by headphone amplifier 16 and provided to headphone 18 via output jacks disposed on the housing of audio player 10.

In the preferred embodiment, audio player 10 is adapted to operate with memory card 32. Memory card 32 is a solid state data storage device that can be used to store various data files, including encoded audio data files and decoder files for controlling the decoding operation of DSP 12. Memory card 32 may be removably coupled to audio player 10 via memory card port 38 and a large amount of data can be readily transferred from memory card 32 to audio player 10 through data bus 33. The solid state nature of memory card 32 allows

large amount of data to be transferred to audio player 10 without skips and other similar disadvantages associated with media, such as CDs, that require precise moving parts. Suitable memory cards include, but are not limited to, CompactFlash™ type I and II of the CompactFlash Association (CFA) standard (IDE Mode). Also, hard drive type media, such as Microdrive™ manufactured by IBM Corporation of Armonk, New York, may be used.

Using the present invention, several decoder files and relatively large amounts of audio data can be stored on currently available flash memory cards. For example, a 64 MB compactflash™ card provides approximately 68 minutes of audio at MP3 bit-rate of 128 Kbps, wherein approximately 60-70KB are required for the decoder programs and approximately 1MB is required for each minute of music output.

Audio data files and associated decoder files are loaded onto memory card 32 using a PC, or other similar device, having music management software loaded thereon. The music management software is designed to load the desired audio data files along with the associated decoder files onto memory card 32. The music management software is implemented using programming methods known in the art. Alternatively, the required data may be downloaded through another source, for example, through the Internet or another player, wherein the downloaded data includes the desired audio data files and their associated decoder files.

Keyboard 26 comprises a plurality of keys disposed on the housing of audio player 10 for allowing a user to select a particular audio data file for playback and to control playback settings. LCD display module 20 is coupled to micro-controller 22 and provides a listing of the selections available on memory card 32 and also status information regarding audio player 10.

Figs. 2A-B illustrate the displays and buttons disposed on the housing of audio player 10. As shown in Fig. 2A, audio player 10 includes display 21 that displays the audio data files stored on memory card 32 and various status information associated with audio player 10. PLAY button 46 allows a user to begin play of a selected data file. STOP/OFF button 42 allows a user to stop play and turn audio player 10 OFF. SKIP/FOR. button 44 and

SKIP/REV. button 43 allow a user to scroll between different audio data files displayed on display 21. Mode button 45 allows a user to a particular playback mode, including NORMAL, REPEAT PLAYBACK and SHUFFLE. DSP button 40 allows a user to select one of a plurality of DSP modes, including FLAT, BASS, BOOST, ROCK, POP, JAZZ, and EQ. Fig. 2B shows memory card port 38 having memory card 32 inserted therein, and ejector lever 47 for ejecting memory card 32 from memory card port 38.

After insertion of memory card 32 into memory card port 38 of audio player 10 and prior to beginning playback, micro-controller 22 reads memory card 32, in particular a configuration file stored therein, to identify and display the audio data files stored on memory card 32, and their associated encoding formats. When the user selects a particular audio data file for playback, micro-controller 22 loads the selected audio data file and the appropriate decoder file into DSP RAM 11, wherein DSP 12 decrypts both the data and decoder files, and then decodes the selected audio data file using the decoder file. Thus, the decoder files on memory card 32 allow audio player 10 to be adapted to process the various encoding formats associated with the audio data files stored on memory card 32. In effect, portable audio player 10 is software upgraded, as necessary, by the decoder files stored on memory card 32 when the user selects a particular audio data file stored on memory card 32. The steps associated with processing a selected audio data file from memory card 32 using audio player 10 is shown in the flowcharts of Figs. 3 and 4, and described below.

Figs. 3 and 4 show flowcharts illustrating the steps for processing a selected audio data file in accordance with the present invention. After powering UP in step 100, micro-controller 22 of audio player 10 checks for the presence of memory card 32 in flash card port 38 in step 102. If memory card 32 is not detected in flash card port 38, audio player 10 powers DOWN in step 104. If memory card 32 is detected, audio player 10 turns ON the power to memory card 32 in step 106.

In step 108, micro-controller 22 transfers the decryption program stored in micro-controller ROM 23 to DSP RAM 11. In step 110, micro-controller

22 issues a diagnostic check command to cause memory card 32 to perform a set of self-diagnostic checks. If memory card 32 is determined to be in a faulty condition in step 112, micro-controller 22 indicates that an error condition exists and powers DOWN memory card 32 in step 114.

5 If memory card 32 is determined to be in an acceptable condition, micro-controller 22 reads the unique ID number embedded in memory card 32. In step 116, micro-controller 22 transfers the unique ID number of memory card 32, and a private key file and a security code stored in micro-controller ROM 23 to DSP RAM 11. Following this transfer, in step 118, DSP 12 decrypts the  
10 decryption program using the security code. The decryption program specifies certain keys using a pointer that specifies the memory location of DSP RAM 11 wherein the desired keys are stored, from the key file to be used during subsequent decryption operations as noted below.

In step 120, micro-controller 22 determines whether a configuration  
15 file is present in memory card 32. In step 122, if a configuration file is not found, micro-controller 22 causes an error indication to be displayed on display 21 and powers DOWN memory card 32. In step 124, if a configuration file is present, micro-controller 22 reads the configuration file and identifies the various file formats that need to be supported for the data files stored on memory card  
20 32. The configuration file also includes information that equates the file extension of the audio data files with particular decoder files stored in memory card 32. In step 126, micro-controller 22 reads the file attribute table stored on memory card 32 and causes display 21 to display the file/folders stored in memory card 32. At this point, the user may select a desired one of the  
25 displayed audio data files, or music tracks, for playback.

When an audio data file is selected for playback in step 128, micro-controller 22 and DSP 11 perform a number of steps, including several concurrent steps to provide audio playback. First, micro-controller 22 identifies and transfers the corresponding decoder file from memory card 32 to DSP RAM  
30 11 in step 130. For example, if the user selects an MP3 file, micro-controller 22 transfers the MP3 decoder file from memory card 32 to DSP RAM 11. At this point, the MP3 decoder file is encrypted and must be decrypted before it can be

used to control the decoding operation of DSP 12. In step 131, DSP 12 decrypts the decoder file loaded in DSP RAM 11 using the decryption program that was decrypted in step 118 and a first key in the key file specified by the decryption program.

5 In step 134, micro-controller 22 begins streaming the selected audio data file from memory card 32 to DSP 12. In step 132, DSP 12 uses the decryption program to decrypt the streaming audio data file. An additional security layer with regard to the decryption of the audio data file is provided as follows. A second key from the private key file that is specified by the  
10 decryption program and the unique ID number of memory card 32 are used to generate a final key, wherein the final key is used by the decryption program to decrypt the audio data file.

The music management software is designed to use the unique ID number of memory card 32 to encrypt the audio data file when the audio data  
15 file is stored in memory card 32. As such, the use of the unique ID number of memory card 32 to generate the final key prevents audio player 10 from playing an audio data file that was copied from another memory card. In step 133, DSP 12 uses the decrypted decoder file to decode the decrypted audio data file in accordance with the appropriate encoding format. The decoded audio data is  
20 provided to D/A converter 14 and headphone amp 16 for reproduction.

In step 136, it is determined whether all of the data in the selected audio data file has been transferred to DSP RAM 11. If not, micro-controller 22 continues to stream data from memory card 32 to DSP RAM 11. If the transfer of data is complete as determined in step 136, micro-controller 22 determines in  
25 step 140 whether the next audio data file is encoded using the same format as the previous audio data file. If the encoding format of the next audio data file is the same as the previous encoding format, micro-controller 22 returns to step 134 and starts streaming the data from the next audio data file, which data is subsequently decrypted and decoded in steps 132 and 133 as before.

30 If the encoding format of the next audio data file differs from the encoding format of the previous audio data file, micro-controller 22 returns to step 130. In this case, a new decoder file associated with the next audio data

file is transferred to DSP RAM 11, and the steps of decrypting the decoder file, streaming the audio data file, decrypting the data file, and decoding the data file using the newly loaded decoder file is repeated. In this manner, audio player 10 is able to playback audio data files encoded using any one of a plurality of  
5 encoding formats, as long as the decoder file associated with the selected encoding format is available and can be downloaded onto DSP RAM 11. In the present embodiment, the necessary decoder files are stored in memory card 32 along with the audio data files. As such, audio player 10 can be updated to play different encoding formats by software updating of the DSP via decoder files  
10 stored along with the audio data files in memory card 32. Thus, audio player 10 is capable of playing back data files encoded using a variety of encoding formats, including encoding formats that become available in the future.

In the present invention, audio data files are loaded onto memory card 32 using music management software that encodes the audio data files in  
15 accordance with a selected encoding format, such as MP3, encrypts the encoded data files, and then stores the encrypted, encoded data files. Various encryption and decryption methods known to those skilled in the art for generating an encrypted file using a selected key, and then decrypting the encrypted file using the selected key may be used. In the present invention, the decoder files are  
20 encrypted using a first key, and the audio data files are encrypted using another key that is generated using the unique identifier on memory card 32. The music management software stores the encrypted audio data files and appropriate encrypted decoder files onto memory card 32. The music management software also generates, and modifies as necessary, a configuration file and a file attribute  
25 table to provide information regarding the various data files and decoder files stored on memory card 32. Using the configuration file and the file attributes table, audio player 10 is able to determine the correct encoding format for each content file, display the available file on display 21 and download appropriate decoder file for each content file in response to a user selection.

30 Fig. 4 is a memory map associated with DSP RAM 11 illustrating the various data files that are transferred to DSP RAM 11 and interactions therebetween. Initially, the unique ID number associated with memory card 32 is

stored in memory portion 49. The security code and private key files that are stored in micro-controller ROM 23 are transferred to DSP RAM 11 and stored in respective memory portions 50 and 51. Also, the decryption program is read into memory portion 52 from micro-controller ROM 23. The decoder file  
5 associated with a selected audio data file is stored in memory portion 54, and the audio data files are stored in memory portion 56. It is to be understood that the transfer of the various data blocks and the actual arrangement of the data blocks in DSP RAM may be programmed as desired using any one of programming techniques known to those skilled in the art.

10 As described above, the security code in block 50 is used to decrypt the decryption program in block 52. The decryption program is used to decrypt the decoder file in block 54 and the audio data file in block 56. The decryption program includes a pointer that is used to specify a first key to be used during decryption of the decoder file. Also, a second key specified by the decryption  
15 program and the unique ID number of memory card 32 in block 49 are used to generate a final key, and the final key is used by the decryption program to decrypt the selected audio data file. The decoder file is then used to decode the decrypted audio data file. It can be seen that different keys may be selected in the private key file by changing the pointers to the memory locations where the  
20 private key file is stored or by changing the locations where the private key file is stored.

It will be apparent to those skilled in the art that although the present invention has been described in terms of an exemplary embodiment, modifications and changes may be made to the disclosed embodiment without  
25 departing from the essence of the invention. For example, although the present invention has been described with reference to a memory card that is adapted to be removably coupled to audio player 10, the present invention may be implemented using a memory chip that is fixedly disposed within audio player 10, wherein the decoder program and audio data files are loaded onto the fixed  
30 memory chip by the music management software. Also, it is herein recognized that the present feature of loading the appropriate decoder programs and the audio data files may be implemented in the music management software using

any one of a number of conventionally known programming methods, or combination of programming methods. Also, although the above is described in reference to an audio data player, the present invention may be extended to any portable data processing device, for example, video display devices, wherein the data may be encoded using one of a plurality of data encoding formats. Also, the audio data files and decoder files may be stored and read from magnetic or optical media. Therefore, it is to be understood that the present invention is intended to cover all modifications as defined in the appended claims.

10030765-103204



13

## CLAIMS

1. In a handheld audio playback device, a method for playing back an audio data file, the audio data file being encoded in accordance with a selected one of a plurality of encoding formats, the method comprising the steps of:

identifying a selected audio data file in response to a user input;

identifying a decoder file associated with the selected audio data file, the decoder file comprising a program to control the operations of a digital signal processor;

transferring the selected audio data file and the associated decoder file to the digital signal processor, wherein the audio data file and the associated decoder file are both stored in a single removable data storage device coupled to the handheld audio playback device;

decoding the selected audio data file in accordance with the decoder file in the digital signal processor, wherein the decoding is performed entirely within the digital signal processor; and

providing the decoded audio data file to an output device.

2. The method according to claim 1, further comprising the step of reading a configuration file that associates each one of a plurality of audio data files with a particular one of a plurality of decoder files, and the identifying the decoder file step comprises identifying the decoder file using the configuration file.

3. The method according to claim 2, wherein the removable data storage device is a solid state data storage device.

4. A handheld audio playback apparatus for playing back an audio data file encoded in accordance with a selected one of a plurality of encoding formats, the apparatus comprising:

- user input means for receiving user commands;
- data input means for receiving digital data;
- a digital signal processor;
- a micro-controller, coupled to the user input means, the data input means, the output means and the digital signal processor, for identifying a user selected audio data file and a decoder file associated with the user selected audio data file, the decoder file comprising a program for controlling the operation of the digital signal processor, and for transferring the user selected audio data file and the associated decoder file from a single removable data storage device to the digital signal processor in response to a user selection, the digital signal processor decoding the user selected audio data file in accordance with the associated decoder file,

wherein the decoding is performed entirely within the digital signal processor.

5. The handheld audio playback apparatus according to claim 4, wherein the removable data storage device comprises a solid state data storage device coupled to the micro-controller.

6. A handheld audio playback system, comprising:  
user input means for receiving user commands;  
data input means for receiving digital data;  
5 a removable data storage device coupled to the data input means;  
a digital signal processor; and  
a micro-controller, coupled to the user input means, the data input means, and the signal processor, for identifying a selected  
10 audio data file and an associated decoder file stored on the data storage device in response to a user input, the micro-controller transferring the selected audio data file and the associated decoder file to the digital signal processor, the digital signal processor decoding the selected audio data file in accordance  
15 with the associated decoder file, wherein the decoding is performed entirely within the digital signal processor.

7. The system according to claim 6, wherein the removable data storage device comprises a solid state data storage device.

8. The system according to claim 6, wherein the data storage device is a compactflash memory card.

9. The system according to claim 6, wherein the data storage device includes a configuration file for identifying a plurality of  
25 stored audio data files and the decoder file associated with each one of the plurality of stored audio data files.

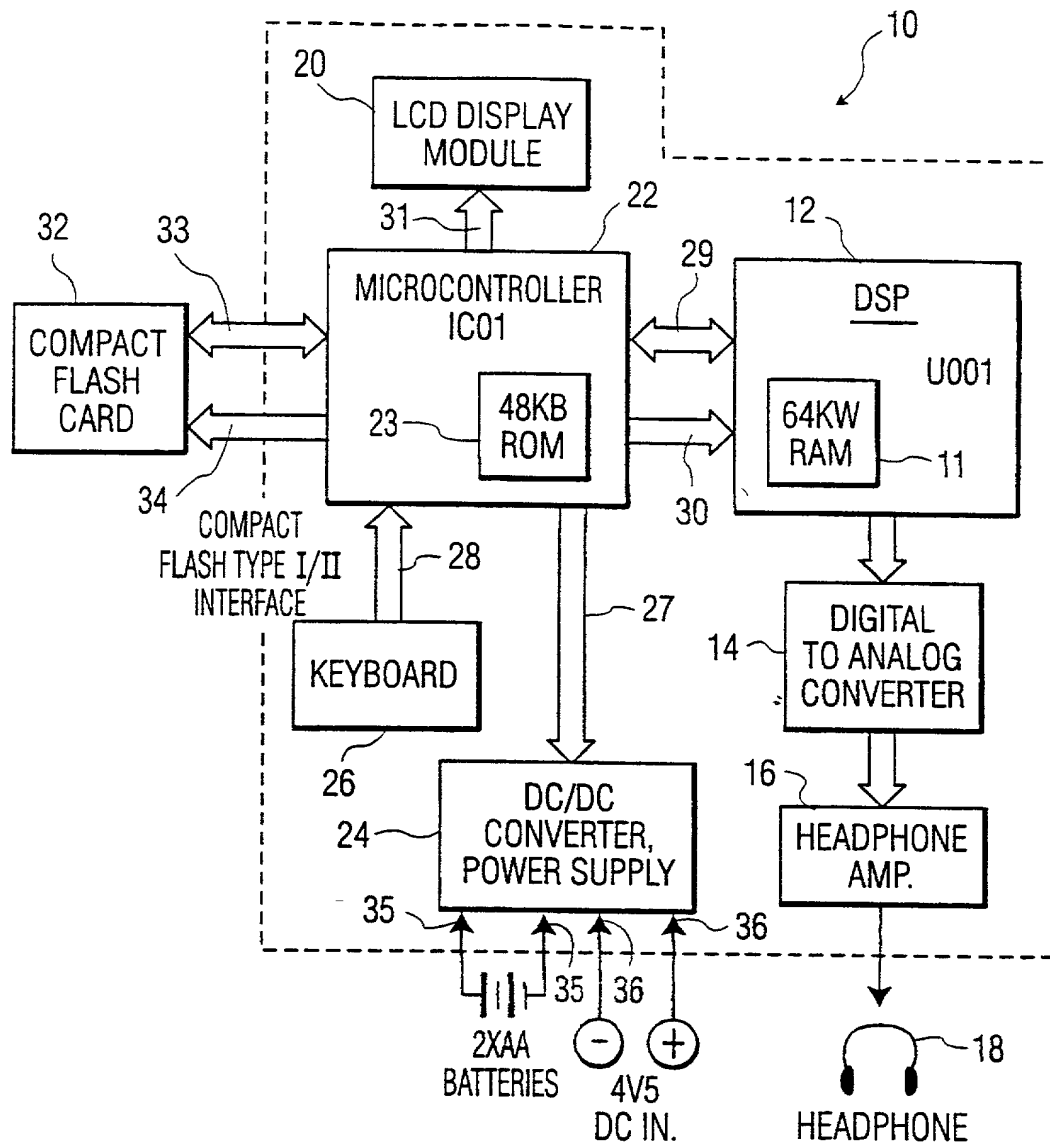


FIG. 1

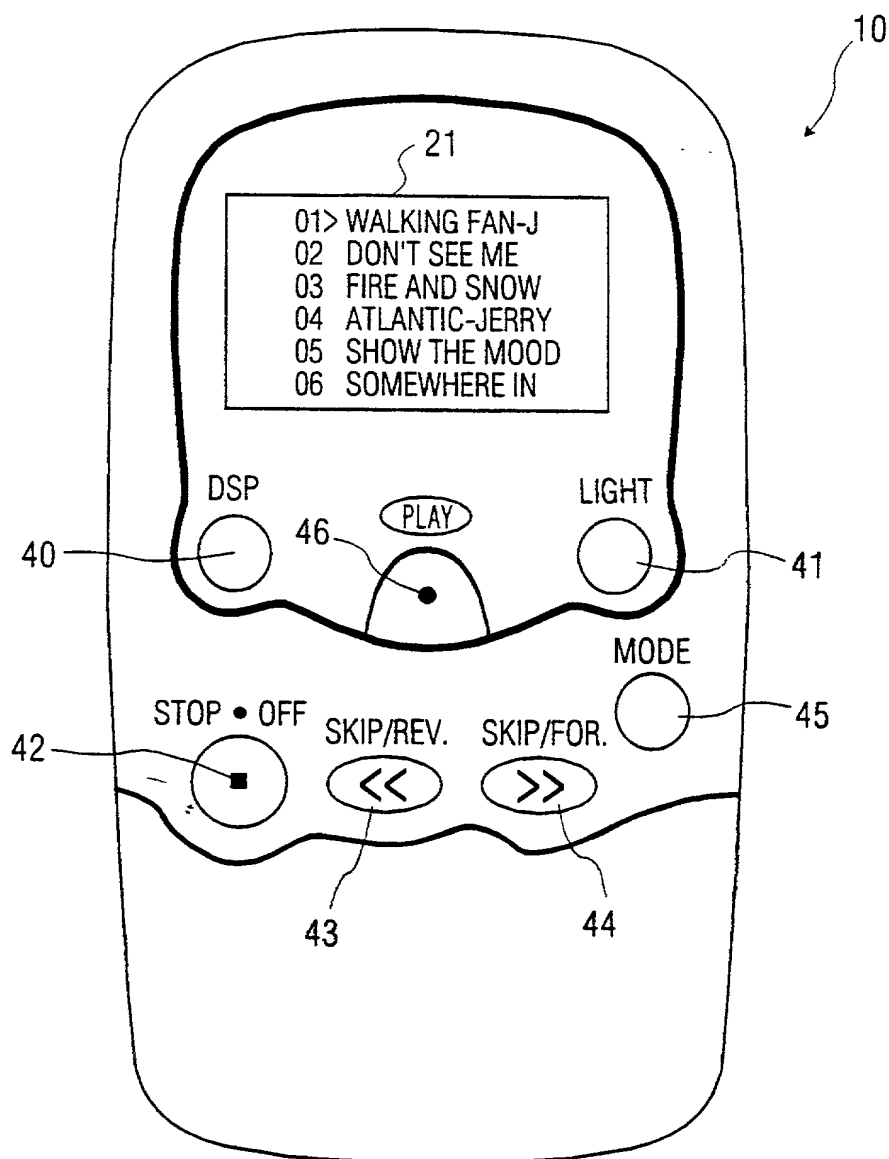


FIG. 2A

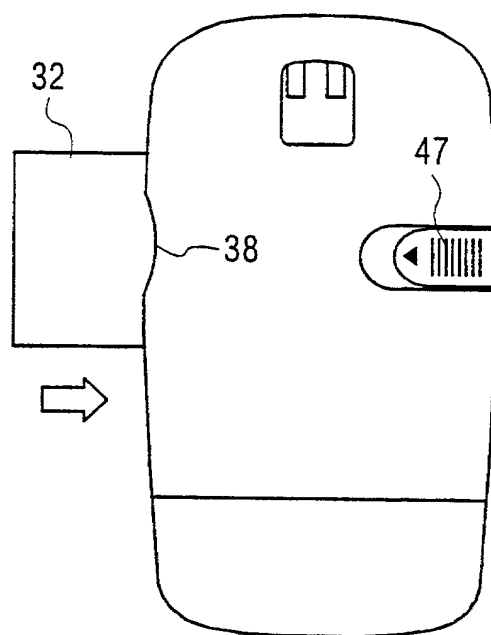
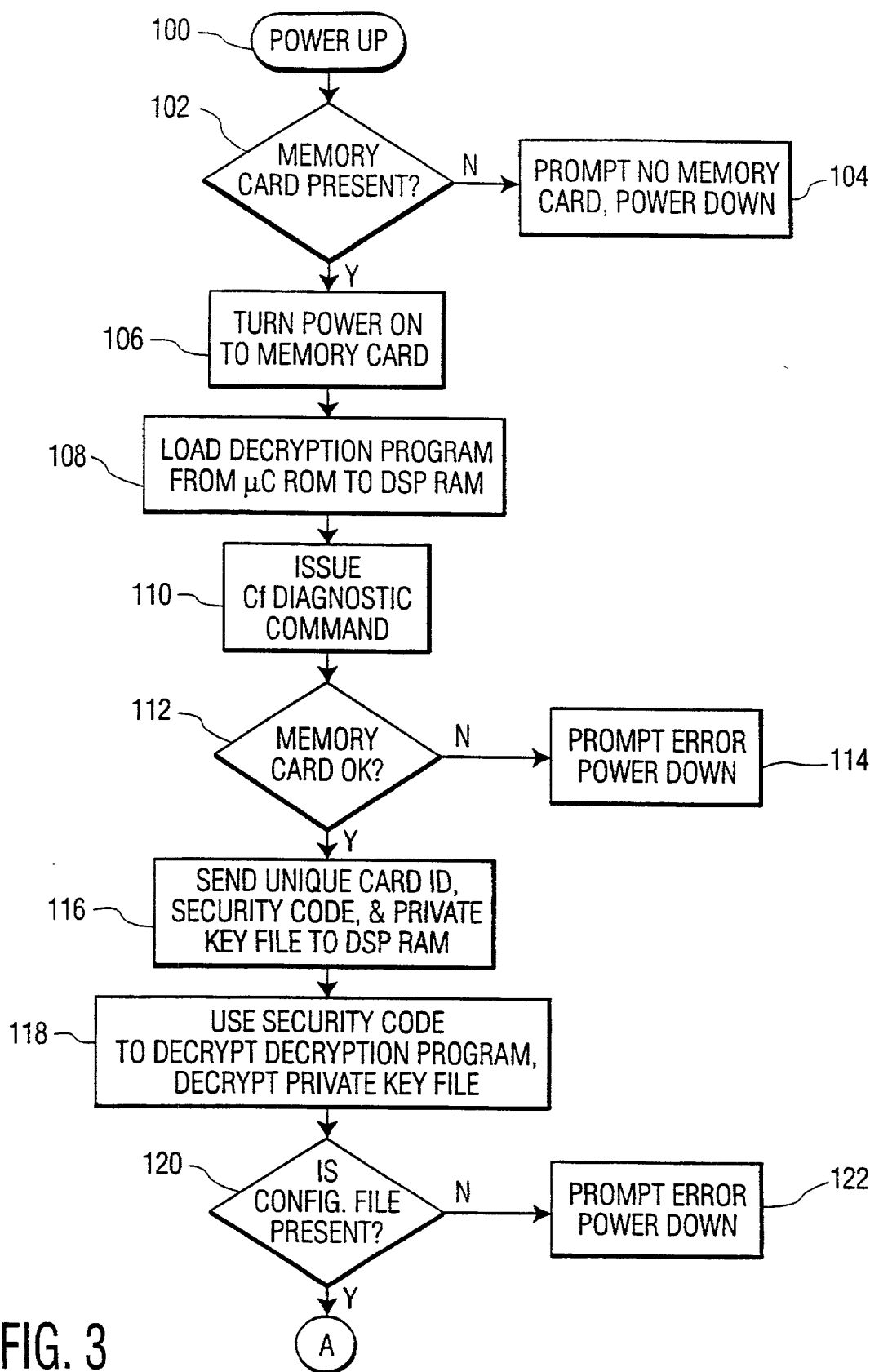


FIG. 2B



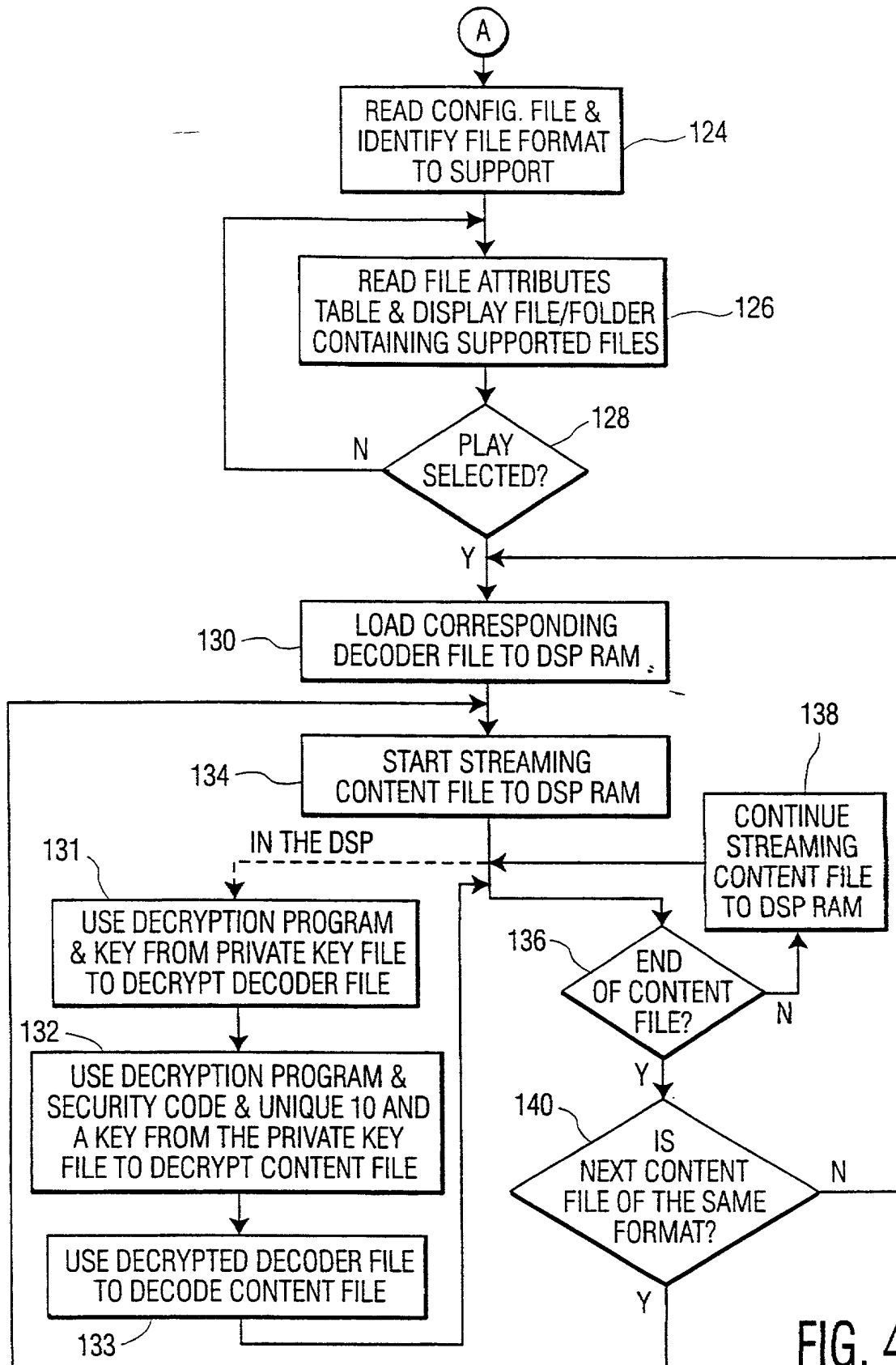


FIG. 4



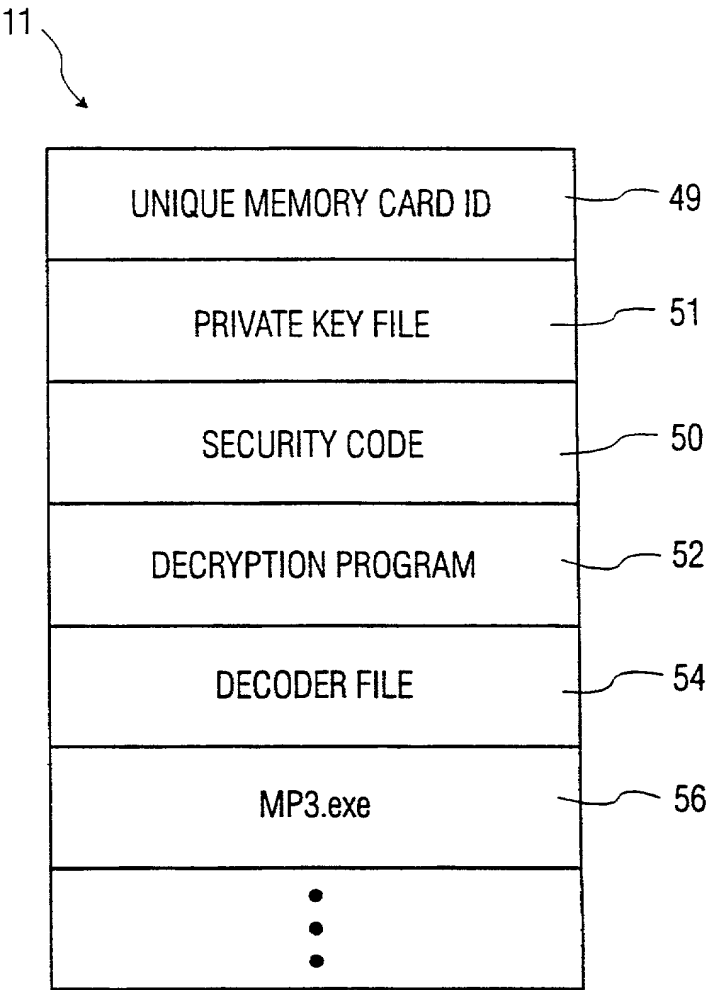


FIG. 5

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(37 CFR 1.16 (e))  
required)

**Attorney Docket Number** RCA 89520  
**First Named Inventor** SIN HUI CHEAH et al.

**COMPLETE IF KNOWN**

**Application Number** /  
**Filing Date**  
**Group Art Unit**  
**Examiner Name**

**As a below named inventor, I hereby declare that:**

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MULTI-FORMAT PERSONAL DIGITAL AUDIO PLAYER**

the specification of which (Title of the Invention)

☐ is attached hereto

**OR**

☒ was filed on (MM/DD/YYYY) **April 28, 2000** as United States Application Number or PCT International

Application Number **PCT/US00/11610** and was amended on (MM/DD/YYYY) **May 24, 2001** (if applicable).

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| 60/131,888            | April 30, 1999           |   |

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| Country   | Telephone                         | Fax            |  |
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### NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventor

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|------------|--------------|------------------------|-------|
| Given Name | SIN SHIN HUI | Family Name or Surname | CHEAH |
|------------|--------------|------------------------|-------|

|                      |             |
|----------------------|-------------|
| Inventor's Signature | Date        |
| <i>[Signature]</i>   | 1st/10/2001 |

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### NAME OF SECOND INVENTOR:

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required)

Attorney Docket Number RCA 89520  
First Named Inventor SIN HUI CHEAH et al.

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MULTI-FORMAT PERSONAL DIGITAL AUDIO PLAYER

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| Given Name (first and middle [if any])     |               | Family Name or Surname  |                |
| ROBERT JAMES                               |               | DICK SR.  |                |
| Inventor's Signature                       |               | Date  |                |
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| Mailing Address                            |               |   |                |
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| ROBERT JAMES                                      |                      | DICK SR.  |                       |
| Inventor's Signature <i>Robert James Dick Sr.</i> |                      | Date <b>SEPTEMBER 20 2001</b>   |                       |
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